

Section IV: Eligibility and Qualification Criteria

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Table 1. Eligibility

Criteria	Requirement	Participant				Documents Required
		Single Entity	Joint Venture, Consortium or Association			
			All members combined	Lead Partner	Each other member	
1.1 Legal status and nationality	Compliance with the respective conditions of ITP	Must meet requirement	Must meet requirement	Must meet requirement	Must meet requirement	Form ELI -1; Charter(s); Registration (incorporation) documents; JVCA Agreement (where applicable)
1.2 Prohibited Practices	Compliance with the respective conditions of ITP	Must meet requirement	N/A ¹	Must meet requirement	Must meet requirement	Covenant of Integrity
1.3 Sanctions by UN Security Council	Compliance with the respective conditions of ITP	Must meet requirement	N/A	Must meet requirement	Must meet requirement	Covenant of Integrity
1.4 Anti-Competitive Conduct	Compliance with the respective conditions of ITP	Must meet requirement	N/A	Must meet requirement	Must meet requirement	Letter of Tender
1.5 Conflict of Interest	Compliance with the respective conditions of ITP	Must meet requirement	N/A	Must meet requirement	Must meet requirement	Letter of Tender
1.6 Competitive advantage	Compliance with the respective conditions of ITP	Must meet requirement	N/A	Must meet requirement	Must meet requirement	Letter of Tender
1.7 Affiliation	Compliance with the respective conditions of ITP	Must meet requirement	N/A	Must meet requirement	Must meet requirement	Letter of Tender; Form ELI-1; Ownership structure

¹ N/A denotes "Not applicable"

Table 2. Financial Situation²						
Criteria	Requirement	Participant				Documents Required
		Single Entity	Joint Venture, Consortium or Association			
			All members combined	Lead Partner	Each other member	
Period: from January 2019 to December 2023						
2.1 Historical Financial Performance	Financial soundness shall be demonstrated by annual financial statements: <ul style="list-style-type: none"> confirmed by audit statements; or by tax returns/acceptance by the tax authorities of the Participant's country of incorporation or domicile. 	Must meet requirement	Must meet requirement	Must meet requirement	Must meet requirement	Form FIN-1; Balance sheets; Profit and loss accounts
2.2 Average Annual Revenues	A Participant's minimum average annual revenues for the given period of time shall be equivalent to: For Lot 1: twenty million United States Dollars (20,000,000 USD); For Lot 2: twenty million United States Dollars (20,000,000 USD). For Lots 1 and 2: forty million United States Dollars (40,000,000 USD)	Must meet requirement	Must meet requirement	Must meet sixty percent (60 %) of the requirement	Must meet thirty percent (30 %) percent of the requirement	Form FIN-1; Income statement

² The monetary values shall be expressed in equivalent of *United States Dollars (USD)*.

The values in other currencies should be recalculated into the above stated currency, based on the exchange rate as set by *The Central Bank of Mongolia* on the 1st of July of each year reported, or for the current year, on the date of the invitation to submit applications or proposals, as appropriate.

Table 2. Financial Situation

Criteria	Requirement	Participant				Documents Required
		Single Entity	Joint Venture, Consortium or Association			
			All members combined	Lead Partner	Each other member	
2.3 Financial Solvency	<p>A Participant shall not:</p> <ul style="list-style-type: none"> • be bankrupt; • be insolvent or in liquidation proceedings; • have its assets administered by a liquidator or by the court of law; • have its commercial activity suspended by the court of law; or • have no outstanding tax obligations in the Participant's country of incorporation or domicile. 	Must meet requirement	Must meet requirement	Must meet requirement	Must meet requirement	Documents issued by the relevant authorities in the country, where of a Participant is incorporated or domiciled; Balance sheets; Profit and loss accounts
2.4 Financial resources	<p>A Participant shall demonstrate that it has access to, or has available, financial resources such as liquid assets, unencumbered real assets, lines of credit, and other financial means (other than any contractual advance payment), sufficient to meet the minimal contractual cash-flow requirements for the period of 2 months equivalent to:</p> <p><i>For Lot 1: three-point-five million United States Dollars (3,500,000 USD)</i></p> <p><i>For Lot 2: three-point-five million United States Dollars (3,500,000 USD)</i></p> <p><i>For Lot 1 plus Lot 2: seven million United States Dollars (7,000,000 USD),</i></p> <p>taking into account its current commitment and recent awards.</p>	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN-2; Form IRC-2; Bank letters; Balance sheets; Form FIN-3]

Table 3. Experience³

Criteria	Requirement	Participant				Documents Required
		Single Entity	Joint Venture, Consortium or Association			
			All members combined	Lead Partner	Each other member	
Period: from January 2019 to March 2024						
3.1 General Experience	<p>A Participant shall demonstrate experience as a contractor (in any role), in the execution of:</p> <p>For Lot 1:</p> <ul style="list-style-type: none"> a minimum of two (2) contracts, each with a value of works, implemented by the Participant, of at least four-point-nine million United State Dollars (4,900,000 USD) equivalent <p>For Lot 2:</p> <ul style="list-style-type: none"> a minimum of two (2) contracts, each with a value of works, implemented by the Participant, of at least four-point-nine million United State Dollars (4,900,000 USD) equivalent <p>For Lot 1 plus Lot 2:</p> <ul style="list-style-type: none"> a minimum of two (2) contracts, each with a value of works, implemented by the Participant, of at least nine-point-eight million United State Dollars (9,800,000 USD) equivalent <p>that have been substantially completed⁴.</p>	Must meet requirement	Must meet requirement	Must meet requirement for one (1) contract	Must meet requirement for one (1) contract	Form EXP-1; Documents, demonstrating substantial completion of contracts; Form IRC-1

³ The same contracts may be used to demonstrate the required experience

⁴ For evaluation purposes, substantially complete means physical completion of envisaged works for at least 80% rate.

Table 3. Experience³

Criteria	Requirement	Participant				Documents Required
		Single Entity	Joint Venture, Consortium or Association			
			All members combined	Lead Partner	Each other member	
3.2 Management Experience ⁵	<p>Experience as a main contractor or a lead partner in a JVCA in the execution at least:</p> <p>For Lot 1: one (1) contract with a value equivalent of at least four-point-nine million United States Dollars (4,900,000 USD) equivalent;</p> <p>For Lot 2: one (1) contract with a value equivalent of at least four-point-nine million United States Dollars (4,900,000 USD) equivalent;</p> <p>For Lot 1 plus Lot 2: one (1) contract with a value equivalent of at least nine-point-eight million United States Dollars (9,800,000 USD) equivalent;</p> <p>that has been substantially completed⁶.</p>	Must meet requirement	Must meet requirement	Must meet requirement for one (1) contract	N/A	Form EXP-1; Documents, demonstrating substantial completion of contracts; Form IRC-1

⁵ The monetary values in the respective Forms shall be expressed in equivalent of *United States Dollars (USD)*.

The values in other currencies should be recalculated into the above stated currency, based on the exchange rate as set by *The Central Bank of Mongolia* on the 1st of July of each year of substantial completion of respective contracts, or for the current year, on the date of the invitation to submit applications or proposals, as appropriate.

⁶ For evaluation purposes, substantially complete means physical completion of envisaged works for at least 80% rate.

Table 3. Experience³

Criteria	Requirement	Participant				Documents Required
		Single Entity	Joint Venture, Consortium or Association			
			All members combined	Lead Partner	Each other member	
3.3 Specific Experience ⁷	<p>Experience with the substantial completion of:</p> <p>For Lot 1:</p> <ul style="list-style-type: none"> a minimum of two (2) contracts similar in nature and complexity to the Contract; and the following key activities: asphalt concrete pavement wearing course construction for a two-lane road with a rate of at least 3900 m³ per year. <p>For Lot 2:</p> <ul style="list-style-type: none"> a minimum of two (2) contracts similar in nature and complexity to the Contract; and the following key activities: asphalt concrete pavement wearing course construction for a two-lane road with a rate of at least 3900 m³ per year. <p>For Lot 1 plus Lot 2:</p> <ul style="list-style-type: none"> a minimum of two (2) contracts similar in nature and complexity to the Contract; and the following key activities: asphalt concrete pavement wearing course construction for a two-lane road with a rate of at least 7800 m³ per year. 	Must meet requirement	Must meet requirement	N/A	N/A	Form EXP-1; Documents, demonstrating substantial completion of contracts and activities, as appropriate; Form IRC-1

Table 4. Historical Contract Non-Performance⁸

Criteria	Requirement	Participant				Documents Required
		Single Entity	Joint Venture, Consortium or Association			
			All members combined	Lead Partner	Each other member	
Period: from January 2019 to March 2024						
4.1 History of Contractual Non-Performance	A Participant, or any partner in a JVCA, shall not have a consistent history of litigation or arbitration resulting in awards rendered against them.	Must meet requirement	Must meet requirement	Must meet requirement	Must meet requirement	Form HIS-1; Form IRC-1
4.2 Pending Litigations	All pending litigation shall in total not represent more than twenty-five percent (25 %) of the Participant’s net worth and shall be treated as resolved against the Participant, or any JVCA member.	Must meet requirement	Must meet requirement	Must meet requirement	Must meet requirement	Form HIS-1; Balance sheets

⁷ Volume, number or rate of production of any key activity may be demonstrated in one or more contracts combined, if executed during the same time period. The rate of production shall be calculated on the basis of an annual production rate for the key construction activity (or activities).

⁸ The monetary values shall be expressed in equivalent of *United States Dollars (USD)*.

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Table 5. Environmental, Social, Health and Safety (ESHS)

Criteria	Requirement	Participant				Documents Required
		Single Entity	Joint Venture, Consortium or Association			
			All members combined	Lead Partner	Each other member	
5.1 ESHS Certifications and Documents	<p>Availability of valid ISO certificates or internationally recognised equivalents in respect of ESHS management (equivalency to be demonstrated by the Participant), such as ISO 14001 and OHSAS 18001/ISO 45001.</p> <p>In absence of such certificates, the Participant shall</p> <ul style="list-style-type: none"> • demonstrate the availability of corporate policies and procedures for ESHS and HR management; and • declare compliance with the ILO Core Labour Conventions and Protocols. 	Must meet requirement	Must meet requirement	Must meet requirement	Must meet requirement	Form ESH-1; Certificates; (Policies and procedures; Declaration, where appropriate)

Table 5. Environmental, Social, Health and Safety (ESHS)

Criteria	Requirement	Participant				Documents Required
		Single Entity	Joint Venture, Consortium or Association			
			All members combined	Lead Partner	Each other member	
Period: from January 2019 to March 2024						
5.2 Specific ESHS Management Experience	A Participant shall demonstrate experience with the satisfactory execution of key ESHS measures and activities in compliance with international standards in relation to a minimum of one (1) contract similar in nature and complexity to the Contract.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form ESH-2; Form IRC-1

Table 6. Subcontractors⁹			
Criteria	Requirement	Subcontractors	Documents Required
Period: from January 2019 to March 2024			
6.1 Subcontractors' Qualification ¹⁰	A Participant shall demonstrate that a Subcontractor has: (a) successful experience in the execution of either: (i) at least two (2) contracts of a nature, complexity and magnitude comparable to the works, activities, services or supplies, as appropriate, proposed for subcontracting; and (b) sound financial position, showing long term profitability.	Must meet requirement	Form SUB-3; From SUB-4; Annexes

⁹ This information shall be provided for each Subcontractor, as per the requirements of Section III, Evaluation methodology

¹⁰ The monetary values shall be expressed in equivalent of *United States Dollars (USD)*.

The values in other currencies should be recalculated into the above stated currency, based on the exchange rate as set by *The Central Bank of Mongolia* on the 1st of July of each year of substantial completion of respective contracts, or for the current year, on the date of the invitation to submit applications or proposals, as appropriate.

Table 6. Subcontractors¹¹			
Criteria	Requirement	Subcontractors	Documents Required
6.2 Subcontractors' Capabilities	A Participant shall demonstrate that a Subcontractor (a) meets eligibility requirements; and (a) possess licences, required by the Laws for performance of the works, activities, services or supplies proposed for subcontracting.	Must meet requirement	SUB-2; Licences

¹¹ This information shall be provided for each Subcontractor, as per the requirements of Section III, Evaluation methodology

Table 7. Personnel

Criteria	Requirement	Participant				Documents Required
		Single Entity	Joint Venture, Consortium or Association			
			All members combined	Lead Partner	Each other member	
7.1 Availability of Key Personnel	A Participant shall demonstrate that personnel, offered to fill in the positions listed in the Requirements to perform related activities under the Contract, is suitably qualified.	Must meet requirement	Must meet requirement	N/A	N/A	Form PER-2